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Jc879 U.S. PTO

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Jc978 U.S. PTO  
09/876451  
06/07/01

"Express Mail" mailing label number EL392678006US.  
Date of Deposit 6/7/2001

Case No. 7103/238 (P0764)

## PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Joseph W. Walters, Emil Kneer, Eric Cooper and Richard Grizzard for :  
APPARATUS AND METHOD FOR CONDITIONING A POLISHING PAD IN A CHEMICAL MECHANICAL PLANARIZATION PROCESS

Enclosed are:

- ☒ 7 sheet(s) of formal drawings, 22 pages of application (including title page), and the following Appendices : \_\_\_\_.
- ☒ Declaration.
- ☒ Power of Attorney.
- ☐ Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- ☒ Assignment transmittal letter and Assignment of the invention to : Lam Research Corporation.
- ☒ Submission of Formal Drawings.

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| Claims as Filed                   | Col. 1    | Col. 2    |
|-----------------------------------|-----------|-----------|
| For                               | No. Filed | No. Extra |
| Basic Fee                         |           |           |
| Total Claims                      | 20-20     | 0         |
| Indep. Claims                     | 4-3       | 1         |
| Multiple Dependent Claims Present |           |           |

If the difference in col. 1 is less than zero, enter "0" in col. 2.

| Small Entity |        |
|--------------|--------|
| Rate         | Fee    |
|              | \$ 355 |
| x\$9=        | \$     |
| x\$40=       | \$     |
| +\$135=      | \$     |
| Total        | \$     |

| Other Than Small Entity |        |
|-------------------------|--------|
| Rate                    | Fee    |
|                         | \$ 710 |
| x\$18=                  | \$     |
| 1x\$80=                 | \$80   |
| +\$270=                 | \$     |
| Total                   | \$790  |

- ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$: \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$: 790 to cover the filing fee and a check in the amount of \$40 to cover the Assignment fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

Date

6/7/2001

David Rozenblat

David Rozenblat  
BRINKS HOFER GILSON & LIONE  
Registration No. 47,044

"Express Mail" mailing label number EL392678006US

Date of Deposit: 6/7/2001

Our Case No. 7103/238

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                              |   |                                   |
|------------------------------|---|-----------------------------------|
| In re Application of:        | ) |                                   |
| Joseph W. Walters et al.     | ) |                                   |
| Serial No. To Be Assigned    | ) | Examiner: To Be Assigned          |
| Filing Date: Herewith        | ) | Group Art Unit No. To Be Assigned |
| For APPARATUS AND METHOD FOR | ) |                                   |
| CONDITIONING A POLISHING     | ) |                                   |
| PAD IN A CHEMICAL            | ) |                                   |
| MECHANICAL PLANARIZATION     | ) |                                   |
| PROCESS                      | ) |                                   |

**SUBMISSION OF FORMAL DRAWINGS**

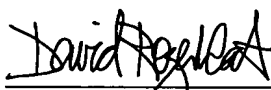
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Official Draftsman

Dear Sir:

Enclosed are 7 sheets of formal drawings.

Respectfully submitted,



David Rozenbat, Reg. No. 47,044  
Attorney for Applicants

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